



**MALTA STOCK EXCHANGE  
INSTITUTE**

**TOWARDS  
A BRIGHTER  
FUTURE**



# The Role of the MLRO - A Practical Approach

Course No 218

As far as the creation of AML and Financial Crime Compliance policies is concerned, the gatekeeper, controller and the place where the “buck stops”, is the MLRO. This is a critically important position within any firm covered by AML Rules. When it comes to the identification, detection, escalation, reporting, managing and training of staff on Anti-Money Laundering procedures, it is the responsibility of the MLRO to ensure this all happens as effectively and efficiently as possible.

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## Sample Topics Covered:

Responsibility for implementing and overseeing the CDD process, onboarding, transactions monitoring and alert escalation

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Ensuring new and changing regulatory requirements are complied with fully and in a timely fashion

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Reporting mechanism

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Record Keeping

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Maintaining and overseeing internal controls and procedures

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Employee training

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Reporting to the Board and Board oversight

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Evaluation of Internal Reports and Submission of Reports

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## General Information

**Time:** 09:00 - 12.00hrs  
**Venue:** Online  
**Level:** Intermediate

## Schedule

**Duration:** 3 hours  
**Dates:**  5 June  
 8 November

## Target Audience

Directors and officers of private as well as public companies, corporate services providers, advisers, lawyers and accountants will all find this course highly interesting and useful.

Registration fee: **€95 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the **'apply now'** button and completing the online application process.

### Malta Stock Exchange Institute Ltd.

Garrison Chapel,  
Castille Place,  
Valletta VLT 1063,  
Malta

**website:** [www.borzamalta.com.mt](http://www.borzamalta.com.mt)  
**email:** [msei@borzamalta.com.mt](mailto:msei@borzamalta.com.mt)  
**tel:** +356 21 244 051

## Lecturer

John Scicluna



John Scicluna is a consultant at WH Partners with many years of experience in AML/CFT, regulatory and compliance. He previously acted as the Head of Compliance with the same firm.

A career banker for 38 years, he has held posts in many areas of one of the largest banks in Malta, 17 of which were as Head of Financial Crime - Money Laundering Reporting Officer.

He formed part of the European Banking Federation Prevention of Money Laundering and Fraud Committee on behalf of the Malta Bankers' Association. He was also assigned roles within the Internal Audit Department managing investigative and analytical assignments.

John is a visiting speaker in a number of local seminars and training events covering AML/CFT and financial crime related topics.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

### Payments by bank transfer

**Bank:** Bank of Valletta plc  
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